

# Apply now



## Investec Bank (Mauritius) Limited – Private Company / Public Company / Listed Company / Incorporated Partnership Account Application Form

### Section 1: Account Information

“**Account Holder**” means the entity which owns the account, in whose name the account is opened and in whose favour Investec shall process credit and/or debit transactions in respect of the account.

“**Investec**” means Investec Bank (Mauritius) Limited.

“**Online User**” means the person(s) who will have access to the online banking platform of Investec, in respect of the account.

All correspondence by Investec to the Account Holder and Online User as may be applicable and in relation to the account, shall be transmitted by email.

#### 1.1. Account Holder’s details

Private Company       Public Company       Listed Company       Incorporated Partnership

Entity name	<input type="text"/>
Country of incorporation	<input type="text"/>
Date of incorporation	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Registration number	<input type="text"/>
Trading name (if any)	<input type="text"/>
Registered address	<input type="text"/>
Tax Residency(ies)	<input type="text"/>
Postal code	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>
Email address	<input type="text"/>
Brief description of business including geographical location	<input type="text"/>
Where is the effective place of management	<input type="text"/>
Reason for opening a bank account in Mauritius	<input type="text"/>
Number of permanent staff employed (if applicable)	<input type="text"/>
Number of board members	<input type="text"/>

Please initial here \_\_\_\_\_

Number of signatories required	<input type="text"/>
Is the Entity a multi-national?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If the Entity is listed, what is the name of the exchange on which it is listed?	<input type="text"/>
Is the Entity a subsidiary of a listed company?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, name of listed company and name of exchange	<input type="text"/>
Size of balance sheet of Entity	<input type="text"/>
Profit or loss amount of Entity	<input type="text"/>
Approximate initial deposit	<input type="text"/>
When will the initial deposit be transferred?	<input type="text"/>
Average value per transaction	<input type="text"/>
Maximum value per transaction	<input type="text"/>
Number of transactions per month	<input type="text"/>
Estimated annual turnover on account	<input type="text"/>
Source of funds (eg. Loan/Equity/Business Revenue)	<input type="text"/>

**Source of wealth of Ultimate Beneficial Owner(s)**

How was your wealth generated i.e. what economic activity generated your net worth? (Please tick the appropriate box(es) and provide as much relevant information required to substantiate from where your wealth originated). Failure to answer this section fully may result in a delay in or prevent the opening of your account. You may be required to provide documentary evidence of the source of your wealth.

Personal savings/earned income (state time period)

Investments (shares, fixed income, collective investment schemes, name which one(s))

Sale of property/business (when, value, address)

Inheritance/gift (from whom, year received, approximate value, how did the giver create their wealth?)

Other (please specify)

In which countries did you generate the majority of your wealth?

Investec Group client? Yes  No

If yes, where?

Please initial here \_\_\_\_\_

1.2. Which Investec service(s) are you applying for?

Online banking required	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Debit card required	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If yes, number of cardholders	<input type="text"/>			
Lending facility required	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Global custody	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Wealth & Investment services	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>

1.3. Account details

Currencies    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other

Account type

Call account   

Fixed account        1 month        3 months        6 months        12 months        Other   

Interest Instruction

Interest to be paid to the designated account (as shown below):    Yes     No

Account name        Bank   

Branch        Branch code   

Account number        Account type   

On the maturity date of a Fixed account, the deposit, together with interest due, will automatically be rolled over under the same period at prevailing market interest rates, unless prior instructions are received by Investec at least two (2) business days prior to the date of maturity. The indicative interest rate sheets pertaining to the type of account selected is available upon request.

1.4. Director / Partner details

Where the Director or Partner is an Entity, please complete 1.7  
Not applicable to Listed Companies (please attach additional copies of the sheet as required)

Title   

Surname   

First name(s)   

Mother's maiden name   

Date of birth   

Place of birth   

Profession   

Nationality(ies)   

Citizenship(s)   

Tax residency(ies)   

Residential address   

Mailing address   

Please initial here \_\_\_\_\_

Home telephone  Work telephone   
 Mobile number  Home fax number   
 Email address

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue	Passport number(s)	Passport expiry date
1. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
2. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
3. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
4. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Investec Group client? Yes  No   
 If yes, where?

Is the Director/Partner an Authorised Signatory? Yes  No

Is the Director/Partner an Ultimate Beneficial Owner (see definition in 1.6) Yes  No

Online access required? Yes  No

Nature of online access required

View only    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other   
 Capturer    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other   
 Authoriser    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other   
 Currency Dealer/Trader

Transaction notification    Email     Text     Transaction confirmation    Email     Text

**1.5. Authorised Signatory (only in respect of Individuals who are not Directors/Partners)**

Where the Authorised Signatory is an Entity please complete 1.7 (please attach additional copies of the sheet as required)

Title   
 Surname   
 First name(s)   
 Mother's maiden name   
 Date of birth          
 Place of birth   
 Profession   
 Nationality(ies)   
 Citizenship(s)   
 Tax Residency(ies)   
 Residential address   
 Home telephone  Work telephone   
 Mobile number  Home fax number   
 Email address

Please initial here \_\_\_\_\_

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue	Passport number(s)	Passport expiry date
1. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
2. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
3. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
4. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Investec Group client? Yes  No

If yes, where?

Is the Authorised Signatory an Ultimate Beneficial Owner (see definition in 1.6) Yes  No

Online access required? Yes  No

Nature of online access required

View only   
  USD   
  GBP   
  EUR   
  ZAR   
  AUD   
  CHF   
  MUR   
  Other

Capturer   
  USD   
  GBP   
  EUR   
  ZAR   
  AUD   
  CHF   
  MUR   
  Other

Authoriser   
  USD   
  GBP   
  EUR   
  ZAR   
  AUD   
  CHF   
  MUR   
  Other

Currency Dealer/Trader

Transaction notification    Email     Text     Transaction confirmation    Email     Text

1.6. Ultimate Beneficial Owner  
 (only in respect of individuals who are not Directors/Partners or Authorised Signatories and not to be completed if the Account Holder is a Listed Company).

- Not applicable to Listed Companies
- Please attach additional copies of the sheet as required

Ultimate Beneficial Owner means:

1. the Individual or a Trust (in the event of a Trust, please complete 1.6.2) or a Foundation (in the event of a Foundation, please complete 1.6.3), including a nominee, who/which, directly or indirectly, ultimately owns and/or exercises control of 20% or more of the capital of the Account Holder;
2. the Individual or a Trust (in the event of a Trust, please complete 1.6.2) or a Foundation (in the event of a Foundation, please complete 1.6.3), including a nominee, who/which, directly or indirectly, ultimately owns and/or exercises control over an entity which, directly or indirectly, owns or exercises control of 20% or more of the capital of the Account Holder.

1.6.1. Ultimate Beneficial Owner being an Individual

Title

Surname

First name(s)

Mother's maiden name

Date of birth

Place of birth

Profession

Nationality(ies)

Citizenship(s)

Tax Residency(ies)

Please initial here \_\_\_\_\_

Residential address

Mailing address

Home telephone  Work telephone

Mobile number  Home fax number

Email address

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue	Passport number(s)	Passport expiry date
1. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
2. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
3. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
4. <input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Investec Group client? Yes  No

If yes, where?

Online access required? Yes  No

Nature of online access required

View only   USD    GBP    EUR    ZAR    AUD    CHF    MUR    Other

Capturer   USD    GBP    EUR    ZAR    AUD    CHF    MUR    Other

Authoriser   USD    GBP    EUR    ZAR    AUD    CHF    MUR    Other

Currency Dealer/Trader

Transaction notification   Email    Text    Transaction confirmation   Email    Text

1.6.2. Ultimate Beneficial Owner being a Trust

Name of Trust

Name of Trustee(s)

Name of Settlor(s)

Country of establishment

Date of establishment

Registration number (if any)

Trading name (if any)

Registered address

Postal code

Telephone number

Fax number

Email address

Investec Group client? Yes  No

If yes, where?

Please initial here \_\_\_\_\_

1.6.3. Ultimate Beneficial Owner being a Foundation

Name of Foundation	<input type="text"/>
Name of Councillor(s)	<input type="text"/>
	<input type="text"/>
Name of Founder(s)	<input type="text"/>
Country of establishment	<input type="text"/>
Date of establishment	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Registration number (if any)	<input type="text"/>
Trading name (if any)	<input type="text"/>
Registered address	<input type="text"/>
	<input type="text"/>
Postal code	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>
Email address	<input type="text"/>
Investec Group client?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, where?	<input type="text"/>

1.7. Director, Partner or Authorised Signatory being a Private Company or Incorporated Partnership

Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/>	
Name	<input type="text"/>
Country of incorporation	<input type="text"/>
Date of incorporation	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Registration number (if any)	<input type="text"/>
Trading name (if any)	<input type="text"/>
Registered address	<input type="text"/>
	<input type="text"/>
Postal code	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>
Email address	<input type="text"/>
Investec Group client?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, where?	<input type="text"/>

Please initial here \_\_\_\_\_

1.8. Online User details

To be completed only if different from the Authorised Signatory / Director / Partner and/or Ultimate Beneficial Owner  
Please attach additional copies of the sheet as required

Title

Surname

First name(s)

Mother's maiden name

Date of birth

Place of birth

Profession

Nationality(ies)

Residential address

Home telephone  Work telephone

Mobile number  Home fax number

Email address

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue	Passport number(s)	Passport expiry date
1. <input type="text"/>	<input type="text"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
2. <input type="text"/>	<input type="text"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
3. <input type="text"/>	<input type="text"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
4. <input type="text"/>	<input type="text"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>

Investec Group client? Yes  No

If yes, where?

Online access required? Yes  No

Nature of online access required

View only    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other

Capturer    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other

Authoriser    USD     GBP     EUR     ZAR     AUD     CHF     MUR     Other

Currency Dealer/Trader

Transaction notification    Email     Text     Transaction confirmation    Email     Text

Please initial here \_\_\_\_\_



## 1.9. Due Diligence documents

Please refer to the Due Diligence checklist.

## 1.10. Declaration and Undertaking

1. The decision to open the account(s) is within the sole and absolute discretion of Investec.
2. We, the undersigned, warrant that the information supplied by us in the Account Application Form, whether completed by us or not, and supporting documents is true, correct, current and complete in every respect and we declare that we have read and understood the Terms and Conditions ("**Conditions**") set out in the Information Pack, and agree to be bound thereby, as well as to any Conditions that Investec may add/amend from time to time.
3. We, the undersigned, hereby undertake to inform Investec of any change in the information provided by us in this Account Application Form and authorise Investec to obtain independent verification of any data provided.
4. If any information provided in the Account Application Form, changes materially, is false and/or misleading, Investec reserves the right, in its sole discretion, to close the account(s).
5. We acknowledge and understand that on approval of this application by Investec, we will not automatically qualify for all or any of Investec's services. These limitations may relate to particular services or legal and regulatory constraints.
6. We agree:
  - 6.1. that the Conditions constitute our agreement with Investec for any account;
  - 6.2. that we will be bound by the Conditions immediately when my/our card, card number or the account number is used. We acknowledge that the Conditions are available on a printable web-page and that we can access the Conditions on [www.investec.com](http://www.investec.com).
  - 6.3. that we are principally liable for our obligations and, applicable only to the Account Holder(s), for the obligations of any extra Account Holder.

\_\_\_\_\_  
Signature of Authorised Signatory

Name

Date

\_\_\_\_\_  
Signature of Authorised Signatory

Name

Date

\_\_\_\_\_  
Online User (if applicable)

Name

Date

Section 2: Self-Certification

To be completed and signed by the Account Holder.

For the purposes of the Foreign Account Tax Compliance Act (“**FATCA**”), the related Intergovernmental Agreement (“**IGA**”) and the OECD Common Reporting Standard (“**CRS**”), Investec is obliged to collect information about each Account Holder’s tax obligation(s) and citizenship(s), as applicable. In certain circumstances, Investec may be obliged to report this information to the Mauritius Revenue Authority.

Terms referenced in this form shall have the same meaning as applicable under the relevant IGAs, local Regulations and/or local Guidance Notes.

Tax rules are very complex and we strongly recommend that you seek the assistance of a professional tax advisor when completing this form. Should any information provided change in the future, please advise Investec immediately.

2.1. Account Holder’s Tax Status

Please list all the countries where the Entity is resident for tax purposes. Opposite each country, please stipulate the Tax Identification Number (“**TIN**”), issued to the Entity in that country. If that country does not issue a TIN, please stipulate an equivalent number, for example a registered number or similiar official identification number and insert a tick in the box “No TIN”.

Country	TIN	No TIN
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

2.2. Classification of Entities

Please tick the relevant classification under FATCA for this Entity.

- Registered deemed compliant
- Participating FFI/Model 1 or IGA FFI
- Sponsored FFI
- Certified Deemed compliant FFI
- Active NFFE
- Passive NFFE- Please also complete section 2.3. below
- Direct reporting NFFE
- Non-participating FFI
- Trustee documented trust
- Exempt beneficial owner

Is the classification of this Entity under CRS different from its classification under FATCA? Yes  No

If yes, please stipulate the classification

Does the Entity have a Global Intermediary Identification Number (“**GIIN**”) issued by the US Internal Revenue Service? Yes  No

If it does, please provide the GIIN

Is a sponsoring entity acting on behalf of the Entity to perform all due diligence withholding and reporting obligations under FATCA/CRS? Yes  No

Please initial here \_\_\_\_\_

If it does, please provide:

Name of sponsoring entity

GIIN

2.3. If the Entity is a Passive NFFE, please provide the details of the Controlling Persons

The Controlling person is a natural person who exercises control over an entity (being where percentage of ownership is greater than 20%), or settlor, or trustee, or protector, or beneficiaries, or class of beneficiaries.

**Controlling Person's details (1)**

Title

Surname

First name(s)

Date of birth

Place of birth

Nationality(ies)

Citizenship(s)

Residential address

Mailing address

Home telephone

Work telephone

Mobile number

Home fax number

Email address

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue

Passport number(s)

Passport expiry date

1.

2.

3.

4.

Country of Tax Obligation

TIN

**Controlling Person's details (2)**

Title

Surname

First name(s)

Date of birth

Place of birth

Nationality(ies)

Citizenship(s)

Residential address

Mailing address

Home telephone

Work telephone

Please initial here \_\_\_\_\_

Mobile number  Home fax number

Email address

Passport(s) information (please complete for each passport you have)

Passport country(ies) of issue

Passport number(s)

Passport expiry date

1.

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

2.

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

3.

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

4.

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Country of Tax Obligation

TIN

## 2.4 Declaration and Undertaking

We confirm that the information provided in this form is true and complete.

We acknowledge that Investec may request additional information/or documentation to confirm any aspects of this Self-Certification document. We agree to comply at all times with such a request from Investec, within the time specified by Investec in its request.

We undertake to inform Investec within 30 days if any of the information provided in this form changes or turns out to be incorrect or incomplete.

Full Name

Date

\_\_\_\_\_  
Signature of Authorised Signatory