

Open/closed-ended funds and other similar entities

Requirements	Description	Documents submitted
Account application form	A duly signed account application form	
Registration documents	Registration documents A duly certified copy of proof of the registered and business address (if different)	
Constitutive and Administrative documents	Constitution documents Based on the entity type, the related constitutional documents will be applicable <hr/> List of investors <ul style="list-style-type: none">• If applicable for open ended fund• Mandatory for closed ended fund <hr/> Private Placement Memorandum (PPM) A duly certified copy of the prospectus or corporate profile or the private placement memorandum, showing class of shares issued, profile of investors, intended investment activities as well as countries where investments will be held and countries in which the fund is planning to invest	
Additional documents	Structure chart detailing the following related party: <ul style="list-style-type: none">• The promoter/fund sponsor• The fund administrator• The investment manager• The investment advisor (where applicable)• The committee members (where applicable) The document to be duly signed by the company secretary or registered agent or any other professional person (lawyer, accountant or notary) <hr/> AML CFT questionnaire Duly signed and dated AML/CFT questionnaire as per the bank's template	
KYC documents (as per below notes for KYC)	The promoter/fund sponsor/broker <hr/> The administrator <hr/> The investment manager <hr/> The investment advisor <hr/>	

Requirements

KYC documents
(continue)

Description

Documents
submitted

The committee members

Directors

Investors (applicable for closed ended)

Authorised signatories

Notes for KYC documents:

For individuals

- **Identification document:** a duly certified valid passport/national identity card/valid driving licence or army ID
 - **Proof of address:** a valid proof of current and permanent residential address not older than 3 months at time of receipt which may be in the form of a duly certified utility bill (water, electricity, gas and telephone bill) or duly certified credit card statements or a bank reference letter from a reputable financial institution confirming the current residential address of the applicant from the country of residence
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For corporate entities

- Proof of registration
 - Proof of registered address
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For corporate signatories

A duly signed Resolution listing the individuals authorised to act for and on behalf of the entity along with their identification and proof of address documents

For corporate directors

A duly signed list of representatives authorised to act for and on behalf of the entity along with their identification and proof of address documents

Shareholders/intermediary owners & UBO

Refer to related party section (as applicable)

Notes for certification:

Kindly [click here](#) with regards to our certification requirements

